

16 Jan. 1998 17:55

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PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 1

FORM PTO-1199 (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/011160
INTERNATIONAL APPLICATION NO. PCT/GB96/01773	INTERNATIONAL FILING DATE JULY 24 1996	PRIORITY DATE CLAIMED JULY 26 1995	
TITLE OF INVENTION A MAGNETIC FILTER DEVICE			
APPLICANT(S) FOR DO/EO/US HALL, Harold			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.23 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: *cheque*.

09011160-011160

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/G896/01773		ATTORNEY'S DOCKET NUMBER	
17 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$910.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$700.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	460 920 ← new amounts?
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		X \$22.00	\$	
Independent claims	- 3 =		X \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	270
TOTAL OF ABOVE CALCULATIONS =				\$	1190
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	- 595
SUBTOTAL =				\$	595
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	-
TOTAL NATIONAL FEE =				\$	595
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$	595
				Amount to be refunded	\$
				charged	\$


- a. ☒ A check in the amount of \$ 595 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

ALL CORRESPONDENCE TO
J. MARLOWE
% HONG QUIANG ZHAO
1305 BRIAR RIDGE DRIVE
SAN JOSE
CALIFORNIA CA95 123
U.S.A.

SIGNATURE



NAME _____

REGISTRATION NUMBER

PCT #
80 Rec'd PCT/PTO 20 JAN 1998

The Assistant Commissioner for Patents
Box PCT
Washington DC 20231
U.S.A.

January 13, 1998

Dear Sir,

Re: United States Patent Application via PCT (National Phase) after Chapter II

PCT Application No. PCT/GB9601773

APPLICANT NAME: **IIALL, Harold**
APPLICANT ADDRESS: **FLAT 2
31 SOUTH DRIVE
LIVERPOOL L15 8JJ.
ENGLAND. U.K.**

The deadline for National entry via PCT (30 months from priority) is **January 26 1998**.

We tried to contact the US Patent Office in Arlington several times, without success, so please accept our apologies if there are any deficiencies in the above application. Should there be any such deficiencies, we would greatly appreciate notification of these, and an opportunity to correct them.

We were unsure as to which documents you required, but we enclose the following:

- 1) Transmittal letter to US office concerning a filing
- 2) Fee Calculation Sheet (we were also unsure as to which amounts were due, because of fee increase we were told by phone is different to that on sheet)
- 3) cheque for \$595
- 4) Declaration for Patent Application
- 5) Declaration signed by Inventor
- 6) Verified statement claiming small entity status - independent inventor
- 7) Verified statement claiming small entity status - individual who also has rights to above patent (I was unsure if this was the right form)
- 8) Application as filed in priority document (GB), and as filed Internationally (identical)
- 9) International Application as published under PCT (International Publication Date: Feb 13 1997)
- 10) Amendments to International Application filed on July 25 1997
- 11) Amendments to International Application filed on January 5 1998.

We trust that the above application is in order, and look forward to receiving you next communication.

Yours sincerely



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